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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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02/28/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages]
(preferred arrangement set forth below)
 - Description title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C.113) [Total Sheets]
[Total Pages]
- Oath or Declaration
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No: _____ /

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



or Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

| | | | |
|-------------------|-------------------|-----------------------------------|-------------------|
| Name (Print/Type) | I. William Millen | Registration No. (Attorney/Agent) | 19,544 |
| Signature | | Date | February 28, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 830

| Complete if Known | | | | | |
|---|---|--------------------------|----------|---|-----------------------|
| Application Number | | | | | |
| Filing Date | | February 28, 2002 | | | |
| First Named Inventor | | Frederic HOFFMANN et al. | | | |
| Examiner Name | | | | | |
| Group / Art Unit | | | | | |
| Attorney Docket No. | | PET-1994 | | | |
| METHOD OF PAYMENT (check one) | | | | | |
| <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | | |
| Deposit Account Number | 13-3402 | | | | |
| Deposit Account Name | Milten, White, Zeilano & Branigan, P.C. | | | | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 | | | | | |
| <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | | |
| FEE CALCULATION (continued) | | | | | |
| 3. ADDITIONAL FEES | | | | | |
| Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 130 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 160 | Submission of Information Disclosure Stmt | |
| 561 | 40 | 581 | 40 | Recording each patent assignment per property (times number of pages) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(e)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 189 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (1) (\$ 740) | | | | | |
| SUBTOTAL (2) (\$ 90) | | | | | |
| SUBTOTAL (3) (\$ 0) | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| Other fee (specify) | | | | | |

**or number previously paid, if greater; For Resumes, see above

| SUBMITTED BY | | | | | |
|------------------------|-----------------------|-----------------|----------------|--------|-----------|
| Name (Print/Type) | I. William, Milten | Registration No | Attorney/Agent | 19,544 | Telephone |
| Signature | <i>William Milten</i> | | | | |
| Complete if applicable | | | | | |
| Date | February 28, 2002 | | | | |

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